

KPTS/6548

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/030438

INTERNATIONAL APPLICATION NO.

PCT/EP00/06354

INTERNATIONAL FILING DATE

4 JULY 2000 (04.07.2000)

PRIORITY DATE CLAIMED

5 JULY 1999 (05.07.1999)

TITLE OF INVENTION

SYNTHETIC BOTTLE STOPPER

APPLICANT(S) FOR DO/EO/US

COIGNOUL, ET AL.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

| | | |
|---|--|--|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.101) 10/030438 | INTERNATIONAL APPLICATION NO. PCT/EP00/06354 | ATTORNEY'S DOCKET NUMBER KPTS/6648 |
|---|--|--|

| 24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : | | | | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | | | | | | |
|---|--------------|--------------|--------------------------|----------------------------------|--------------|--------------|------|--------------|-----------|---|-----------|--------------------|---------|---|-----------|--|--|--|--------------------------|---------------|--|
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 | | | | | | | | | | | | | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | | | | \$890.00 | | | | | | | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:25%;">NUMBER FILED</th> <th style="width:25%;">NUMBER EXTRA</th> <th style="width:35%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>21 - 20 =</td> <td>1</td> <td>x \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>x \$84.00</td> </tr> <tr> <td colspan="3"></td> <td><input type="checkbox"/></td> </tr> </table> | | | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 21 - 20 = | 1 | x \$18.00 | Independent claims | 2 - 3 = | 0 | x \$84.00 | | | | <input type="checkbox"/> | \$0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | | | | | | | | |
| Total claims | 21 - 20 = | 1 | x \$18.00 | | | | | | | | | | | | | | | | | | |
| Independent claims | 2 - 3 = | 0 | x \$84.00 | | | | | | | | | | | | | | | | | | |
| | | | <input type="checkbox"/> | | | | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$908.00 | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2. | | | | \$0.00 | | | | | | | | | | | | | | | | | |
| SUBTOTAL = | | | | \$908.00 | | | | | | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | | \$0.00 | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | | | \$908.00 | | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/> | | | | \$0.00 | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | | | \$908.00 | | | | | | | | | | | | | | | | | |
| | | | | Amount to be refunded | \$ | | | | | | | | | | | | | | | | |
| | | | | charged | \$ | | | | | | | | | | | | | | | | |

- a. ☐ A check in the amount of _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. **501951/TS6648** in the amount of **\$908.00** to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501951/TS6648**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

| | |
|---|--|
| SEND ALL CORRESPONDENCE TO: Keith M. Tackett Moser, Patterson & Sheridan, LLP 3040 Post Oak Blvd. Suite 1500 Houston, TX 77056 United States of America | <div style="text-align: center;"> SIGNATURE </div> <div style="text-align: center;"> Keith M. Tackett NAME </div> <div style="text-align: center;"> 32,008 REGISTRATION NUMBER </div> <div style="text-align: center;"> 28 December 2001 DATE </div> |
|---|--|

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: §
Coignoul et al. §
Serial No.: Unassigned §
Filed: Herewith §
For: Synthetic Bottle Stopper §

Group Art Unit: Unknown
Examiner: Unknown

BOX NEW APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

| | |
|--|--------------------------------------|
| CERTIFICATE OF EXPRESS MAILING 37 C.F.R. 1.10 | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV044735487US, in an envelope addressed to: BOX NEW APPLICATION, USPTO, Washington, D.C. 20231. | |
| 1/4/02 Date | <i>Keith M. Tackett</i> Signature |

LETTER OF TRANSMITTAL

Enclosed for filing are the following documents:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- 2) Preliminary Amendment;
- 3) WIPO Publication WO 01/02263 A1;
- 4) International Search Report;
- 5) Information Disclosure Statement;
- 6) Return postcard.

Respectfully submitted,

Keith M. Tackett

Keith M. Tackett
Registration No. 32,008
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Houston, Texas 77056
Telephone 713/623-4844
Facsimile 713/623-4846
Attorney for Applicant

PATENT APPLICATION SERIAL NO. _____

10/030438

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/18/2002 MNGUYEN 00000015 501951 10030438

| | |
|-----------|-----------|
| 01 FC:970 | 890.00 CH |
| 02 FC:966 | 18.00 CH |

PTO-1556
(5/87)